

# Configuration Spaces and $\mathbb{R}^n$

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This note attempts to make clear the relation between configurations of points in a space  $Y$  and those in its Cartesian product with the reals. It turns out to be a very simple relation whose proof uses nothing new.

Let  $Y$  be an unbased space. Denote by  $Y^j$  the  $j$ -fold Cartesian product of  $Y$  with itself. For present purposes we consider the circle  $S^1$  to be the quotient of the unit interval  $[0, 1]/\{0, 1\}$ . If  $X$  is a based space then  $\Sigma X$  is defined to be  $X \wedge S^1$  and  $\Omega X$  is defined to be the loop space of  $X$ , that is, the space of based maps from  $S^1$  to  $X$ .

**Definition 1** Define  $\mathcal{C}(Y)_j$  to be the subspace of  $Y^j$  consisting of  $j$ -tuples of distinct points in  $Y$ . If  $\nu$  is an injective function from  $\{1, \dots, i\}$  to  $\{1, \dots, j\}$  then define  $\nu^* : \mathcal{C}(Y)_j \rightarrow \mathcal{C}(Y)_i$  by sending  $(y_1, \dots, y_i)$  to  $(y_{\nu(1)}, \dots, y_{\nu(i)})$ . If  $X$  is a nondegenerately based space, define  $\nu_* : X^i \rightarrow X^j$  sending  $(x_1, \dots, x_i)$  to  $(x'_1, \dots, x'_j)$  where  $x'_k = x_l$  if  $k = \nu(l)$  and  $x'_k$  is the basepoint if  $k$  is not in the image of  $\nu$ .

Note that these maps are compatible with composition; i.e.  $(\nu \circ \mu)_* = \nu_* \circ \mu_*$  and  $(\nu \circ \mu)^* = \mu^* \circ \nu^*$ . In particular, the maps  $\nu^*$  define a free action of the  $j$ -fold symmetric group  $\mathcal{S}_j$  on  $\mathcal{C}(Y)_j$ .

The spaces  $\mathcal{C}(Y)_j$  and the maps  $\nu^*$  define a *coefficient system* in the sense of [2], and we define an equivalence relation  $\sim$  on  $\coprod_j \mathcal{C}(Y)_j \times X^j$  generated by  $(\nu^*(\vec{y}), \vec{x}) \sim (\vec{y}, \nu_*(\vec{x}))$ . Define

$$C(Y, X) = \left( \coprod_j \mathcal{C}(Y)_j \times X^j \right) / \sim.$$

In their recent paper [5], Cohen and Taylor deal with the space  $C(\mathbb{R} \times Y, X)$ . Recall that a *weak metric space* is a space  $Y$  together with a continuous function  $d : Y \times Y \rightarrow [0, \infty)$  such that  $d^{-1}(0)$  is the diagonal in  $Y \times Y$ . The main result of this note is:

**Theorem 1** *Let  $Y$  be a weak metric space and  $X$  a nondegenerately based space. There is a space  $C_1(Y, X)$  and a pair of maps*

$$C(\mathbb{R} \times Y, X) \xleftarrow{\phi} C_1(Y, X) \xrightarrow{\alpha} \Omega C(Y, \Sigma X)$$

*such that:*

1.  $C_1(-, -)$  is functorial with respect to based maps in the second variable and injective maps in the first variable, and  $\phi$  and  $\alpha$  are natural;
2.  $\phi$  is a homotopy equivalence; and
3.  $\alpha$  is a weak homotopy equivalence if  $X$  is path-connected.

The proof uses the methods from [1] and [2]. The space  $C_1(Y, X)$  is another space derived from a “coefficient system.” Let  $\mathcal{C}_1(Y)_j$  be the subspace of  $(\mathbb{R} \times \mathbb{R} \times Y)^j$  consisting of  $j$ -tuples of triples  $((a_1, b_1, y_1), \dots, (a_j, b_j, y_j))$  such that for all  $i$ ,  $a_i < b_i$  and for all  $k \neq l$ ,  $y_k = y_l$  implies  $b_k \leq a_l$  or  $b_l \leq a_k$ .

We can define a coefficient system structure  $\nu^*$ ,  $\nu_*$  on  $\{\mathcal{C}_1(Y)_j\}_{j \geq 0}$  by acting on triples, and define  $\sim$  on  $\coprod_j \mathcal{C}_1(Y)_j \times X^j$  generated by  $(\nu^*(\kappa), \vec{x}) \sim (\kappa, \nu_*(\vec{x}))$ . The quotient space  $C_1(Y, X)$  can be thought of as consisting of configurations of line segments in  $\mathbb{R} \times Y$  with disjoint interiors, labeled by points of  $X$ ; a segment labeled by the basepoint drops out under the identification  $\sim$ . For compactness of notation, we will use

$$(a_i, b_i, y_i)_{1 \leq i \leq j}$$

as shorthand for  $((a_1, b_1, y_1), \dots, (a_j, b_j, y_j)) \in \mathcal{C}_1(Y)_j$ , and

$$[a_i, b_i, y_i, x_i]_{1 \leq i \leq j}$$

for the image of  $((a_1, b_1, y_1), \dots, (a_j, b_j, y_j), (x_1, \dots, x_j))$  in  $C_1(Y, X)$ . Similarly we will use the shorthand  $[y_i, x_i]_{1 \leq i \leq j}$  for points of  $C(Y, X)$ .

There is an obvious map  $\phi_j$  from  $\mathcal{C}_1(Y)_j$  to  $\mathcal{C}(\mathbb{R} \times Y)_j$  taking each segment to its center-point. This map respects permutations and so induces a map  $\phi$  from  $C_1(Y, X)$  to  $C(\mathbb{R} \times Y, X)$ .

There is also a map  $\bar{\phi}_j$  from  $\mathcal{C}(\mathbb{R} \times Y)_j$  to  $\mathcal{C}_1(Y)_j$  which we define as follows. Use the weak metric  $d$  on  $Y$  to define  $g : (\mathbb{R} \times Y) \times (\mathbb{R} \times Y) \rightarrow [0, \infty)$  by setting

$$g((a, y), (a', y')) = \frac{1}{2} \left( \frac{|a - a'|^2 + d(y, y')}{|a - a'| + d(y, y') + 1} \right)$$

so  $g((a, y), (a', y')) \leq \frac{1}{2}|a - a'|$  if  $y = y'$ . Let  $\kappa = ((a_1, y_1), \dots, (a_j, y_j)) \in \mathcal{C}(\mathbb{R} \times Y)_j$  and define

$$v(\kappa) = \min_{k \neq l} \{g((a_k, y_k), (a_l, y_l))\}.$$

It's clear that  $v(\kappa) > 0$  and that the intervals  $[a_k - v(\kappa), a_k + v(\kappa)]$  and  $[a_l - v(\kappa), a_l + v(\kappa)]$  do not overlap when  $y_k = y_l$ , so we can define

$$\bar{\phi}_j(\kappa) = (a_i - v(\kappa), a_i + v(\kappa), y_i)_{1 \leq i \leq j}.$$

These induce a map  $\bar{\phi} : C(\mathbb{R} \times Y, X) \rightarrow C_1(Y, X)$ . Further,  $\phi_j$  and  $\bar{\phi}_j$  are easily seen to be inverse  $\mathcal{S}_j$ -equivariant homotopy equivalences:  $\phi_j \bar{\phi}_j$  is the identity of  $\mathcal{C}(\mathbb{R} \times Y)_j$ , and there is a deformation from the identity of  $\mathcal{C}_1(Y)_j$  to  $\bar{\phi}_j \phi_j$  by linearly scaling the intervals around their centers. So by Lemma 2.7(ii) of [2],  $\phi$  is a homotopy equivalence.

Next we need to define  $\alpha$ . For the purposes of this section it is more convenient to work with a homeomorphic copy of  $C_1(Y, X)$ . Let

$$\bar{C}_1(Y, X) = \left\{ [a_i, b_i, y_i, x_i]_{1 \leq i \leq j} \in C_1(Y, X) \mid 0 < a_i < b_i < 1 \text{ for all } i \right\}$$

This subspace is clearly homeomorphic to  $C_1(Y, X)$  via the homeomorphism of the reals  $\mathbb{R}$  with the open interval  $(0, 1)$ . Let  $w = [a_i, b_i, y_i, x_i]_{1 \leq i \leq j}$  be a point of  $\bar{C}_1(Y, X)$ . For a given  $t$ , define

$$\alpha(w)(t) = [y_i, [x_i, s_i]]_{1 \leq i \leq j} \text{ and } a_i \leq t \leq b_i,$$

where  $s_i = (t - a_i)/(b_i - a_i)$ . For a given  $t$  and for each  $i$  satisfying  $a_i \leq t \leq b_i$ , we observe that  $0 \leq s_i \leq 1$  and the points  $\{y_i \mid 1 \leq i \leq j \text{ and } a_i \leq t \leq b_i\}$  are distinct; also  $\alpha(w)(0) = \alpha(w)(1)$  is the basepoint  $*$  of  $C(Y, \Sigma X)$ . Thus  $\alpha(w)$  is a well-defined loop in  $C(Y, \Sigma X)$ .

To show  $\alpha$  is a weak equivalence, we use the same idea as [1], namely to fit it into a comparison of quasifibration sequences. Define  $E_1(Y, X)$  to be the quotient space of  $\bar{C}_1(Y, X) \times [0, 1]$  where we identify  $\left([a_i, b_i, y_i, x_i]_{1 \leq i \leq j}, s\right)$  and  $\left([a'_i, b'_i, y'_i, x'_i]_{1 \leq i \leq j+k}, s\right)$  if  $(a_i, b_i, y_i, x_i) = (a'_i, b'_i, y'_i, x'_i)$  for  $1 \leq i \leq j$  and  $a_{j+1}, \dots, a_{j+k} \geq s$ . Note that all points of the form  $(w, 0)$  are identified with the basepoint  $(*, 0)$  of  $E_1(Y, X)$ , so  $E_1(Y, X)$  is contractible.

Define a map  $\bar{\alpha}$  from  $E_1(Y, X)$  to the path space  $PC(Y, \Sigma X)$  by

$$\bar{\alpha}(w, s)(t) = \begin{cases} \alpha(w)(t), & \text{if } t \leq s, \text{ and} \\ \alpha(w)(s), & \text{if } t \geq s. \end{cases}$$

Defining  $\iota : \bar{C}_1(Y, X) \rightarrow E_1(Y, X)$  by  $\iota(w) = (w, 1)$  and  $q : E_1(Y, X) \rightarrow C(Y, \Sigma X)$  by  $q(w, s) = \bar{\alpha}(w, s)(1)$ , we have the following commutative diagram

$$\begin{array}{ccc} \bar{C}_1(Y, X) & \xrightarrow{\alpha} & \Omega C(Y, \Sigma X) \\ \downarrow \iota & & \downarrow \\ E_1(Y, X) & \xrightarrow{\bar{\alpha}} & PC(Y, \Sigma X) \\ \downarrow q & & \downarrow p_1 \\ C(Y, \Sigma X) & \xlongequal{\quad} & C(Y, \Sigma X) \end{array}$$

where  $p_1$  is projection on the endpoint. Thus by comparison of the long exact sequences of homotopy groups, it is enough to show that  $q$  is a *quasifibration*, that is, a map  $q : E \rightarrow B$  such that for all  $b \in B$  the canonical map from  $q^{-1}(b)$  to the homotopy fiber of  $q$  over  $b$  is a weak homotopy equivalence.

Recall from [3] the Dold-Thom criterion for a map over a filtered base space to be a quasifibration. Let  $B$  be a space with closed subspaces

$$F_0B \subseteq F_1B \subseteq \dots F_jB \subseteq \dots \subseteq B$$

and  $B = \bigcup_{j \geq 0} F_j$ , and let  $q : E \rightarrow B$  be a map. A subspace  $V \subseteq B$  is called *distinguished* if the restriction  $q : q^{-1}(V) \rightarrow V$  is a quasifibration. Then

**Theorem 2** (*Dold and Thom*)  $B$  is distinguished provided that

1.  $F_0B$  is distinguished, and for each  $j > 0$  every open subset of  $F_jB \setminus F_{j-1}B$  is distinguished, and
2. for each  $j > 0$  there is a homotopy  $h_t : U \rightarrow U$  of a neighborhood  $U$  of  $F_{j-1}B$  in  $F_jB$ , and a homotopy  $H_t : q^{-1}(U) \rightarrow q^{-1}(U)$  such that:
  - (a)  $h_0$  is the identity map of  $U$ ,  $h_1(U) \subseteq F_{j-1}B$ , and for all  $t$ ,  $h_t(F_{j-1}B) \subseteq F_{j-1}B$ ,
  - (b)  $H_0$  is the identity map of  $q^{-1}(U)$  and for all  $t$ ,  $qH_t = h_tq$ , and
  - (c) for all  $z \in U$ , the map  $H_1 : q^{-1}(z) \rightarrow q^{-1}(h_1(z))$  is a homotopy equivalence.

Here we give  $C(Y, \Sigma X)$  the filtration of [1], that is  $F_jC(Y, \Sigma X)$  is defined to be the image of  $(\coprod_{0 \leq k \leq j} \mathcal{C}(Y)_k \times (\Sigma X)^k)$ . This has the property that  $F_0C(Y, \Sigma X)$  consists of just the basepoint  $*$ , and  $F_jC(Y, \Sigma X) \setminus F_{j-1}C(Y, \Sigma X)$  is homeomorphic to the image of  $\mathcal{C}_1(Y)_j \times (X \setminus \{*\}) \times (0, 1)^j$ .

We define some maps on  $\bar{C}_1(Y, X)$  to help elucidate the proof. If  $w = [a_i, b_i, y_i, x_i]_{1 \leq i \leq j}$  and  $w' = [a_i, b_i, y_i, x_i]_{j+1 \leq i \leq j+k}$  are configurations in which for all  $k \neq l$  the sets

$$\{(t, y_i) \in \mathbb{R} \times Y \mid a_i < t < b_i\}$$

are pairwise disjoint, then let  $w \cup w' = [a_i, b_i, y_i, x_i]_{1 \leq i \leq j+k}$ . This is continuous on the subspace of  $\bar{C}_1(Y, X) \times \bar{C}_1(Y, X)$  on which it is defined.

If  $s$  and  $t$  are real numbers with  $s < t$  and  $w = [a_i, b_i, y_i, x_i]_{1 \leq i \leq j}$ , then define

$$\text{shrink}_{s,t}(w) = [s + (t-s)a_i, s + (t-s)b_i, y_i, x_i]_{1 \leq i \leq j},$$

which linearly compresses a configuration of segments in  $(0, 1) \times Y$  into the slice  $(s, t) \times Y$ . Note that the composition  $\mu : \bar{C}_1(Y, X) \times \bar{C}_1(Y, X) \rightarrow \bar{C}_1(Y, X)$  defined by

$$\mu(w, w') = \text{shrink}_{0, \frac{1}{2}}(w) \cup \text{shrink}_{\frac{1}{2}, 1}(w')$$

defines an  $H$ -space structure on  $\bar{C}_1(Y, X)$ .

For an element  $z = [y_i, [x_i, s_i]]_{1 \leq i \leq j} \in F_j C(Y, \Sigma X) \setminus F_{j-1} C(Y, \Sigma X)$  we define

$$\lambda(z) = \left[ \frac{1}{2} - \frac{s_i}{2}, 1 - \frac{s_i}{2}, y_i, x_i \right]_{1 \leq i \leq j}.$$

This maps via  $\alpha$  to a loop whose value is  $z$  at  $t = \frac{1}{2}$ , and is well-defined and continuous on  $F_j C(Y, \Sigma X) \setminus F_{j-1} C(Y, \Sigma X)$ .

For an element  $w \in \bar{C}_1(Y, X)$  and  $s \in [0, 1]$ , we can define a function

$$below_s(w) = [a_i, b_i, y_i, x_i]_{1 \leq i \leq j} \text{ and } b_i \leq s,$$

the segments of  $w$  contained in  $[0, s] \times Y$ . This is continuous on  $q^{-1}(F_j C(Y, \Sigma X) \setminus F_{j-1} C(Y, \Sigma X))$ .

For a relatively open set  $V \subseteq F_j C(Y, \Sigma X) \setminus F_{j-1} C(Y, \Sigma X)$  define  $\psi : \bar{C}_1(Y, X) \times V \rightarrow q^{-1}(V)$  by

$$\psi(w, z) = \left( shrink_{0, \frac{1}{2}}(w) \cup shrink_{\frac{1}{2}, 1}(\lambda(z)), \frac{3}{4} \right).$$

If  $(w, s) \in E_1(Y, X)$  define  $\bar{\psi}(w, s) = below_s(w)$ . It follows that there is a commutative diagram

$$\begin{array}{ccc} \bar{C}_1(Y, X) \times V & \xrightleftharpoons[(\psi)]{(\bar{\psi}, q)} & q^{-1}(V) \\ & \searrow p_2 \quad \swarrow q & \\ & V & \end{array}$$

The left map is projection on the second factor and so is the simplest kind of quasifibration; thus the proof of part (1.) will be complete when we have shown that  $\psi$  and  $(\bar{\psi}, q)$  are inverse equivalences over  $V$ . But this is clear:  $\bar{\psi}\psi(w, z)$  is just  $shrink_{0, \frac{1}{2}}(w)$ , and if  $q(w, s) = z$ , then

$$\begin{aligned} \psi(\bar{\psi}(w, s), q(w, s)) &= \psi(below_s(w), z) \\ &= \left( (shrink_{0, \frac{1}{2}}(below_s(w)) \cup shrink_{\frac{1}{2}, 1}(\lambda(z))), \frac{3}{4} \right), \end{aligned}$$

and linearly deforming all the segments to their original locations, and simultaneously deforming  $\frac{3}{4}$  to  $s$  linearly, describes a homotopy over  $V$  of  $\psi \circ (\bar{\psi}, q)$  to the identity.

The proof of part (2.) rests on the fact that the inclusion  $F_{j-1} C(Y, \Sigma X) \hookrightarrow F_j C(Y, \Sigma X)$  is a cofibration, which comes from the fact that  $X$  is nondegenerately based. Let  $W$  be a neighborhood of the basepoint  $*$  in  $X$  and let  $K_t : X \rightarrow X$  be a based homotopy where  $K_0 = id$  and  $K_1(W) = \{*\}$ . Let  $L_t$  be a linear deformation of  $[0, 1]$  from the identity to the map

$$L_1(t) = \begin{cases} 0, & \text{if } t \leq \frac{1}{4}; \\ 2t - \frac{1}{2}, & \text{if } \frac{1}{4} \leq t \leq \frac{3}{4}; \text{ and} \\ 1, & \text{if } t \geq \frac{3}{4}. \end{cases}$$

Use the same symbol  $L_t$  to denote the induced homotopy on  $S^1$ . Then  $J_t = K_t \wedge L_t$  is a deformation of  $\Sigma X = X \wedge S^1$  which collapses a neighborhood  $W' = W \wedge ([0, \frac{1}{4}) \cup (\frac{3}{4}, 1])$  of the basepoint. Thus let

$$U = \left\{ [y_i, [x_i, s_i]]_{1 \leq i \leq j} \mid [x_i, s_i] \in W' \text{ for some } i \right\},$$

and use the functoriality of  $C_1(-, -)$  to define  $h_t(z) = C(1_Y, J_t)(z)$ . For any  $z = [y_i, [x_i, s_i]]$  in  $U$ ,  $J_1([x_i, s_i])$  will be  $*$  for at least one index  $i$ , and so  $J_1(z) \in F_{j-1}C(Y, \Sigma X)$ . It is clear that  $J_t$  preserves  $F_{j-1}C(Y, \Sigma X)$  and so part (2a) is complete.

If  $(w, s) \in q^{-1}(U)$  and  $w = [a_i, b_i, y_i, x_i]_{1 \leq i \leq j}$ , define

$$H_t(w, s) = \left( [(1-t)a_i + ta'_i, (1-t)b_i + tb'_i, y_i, K_t(x_i)]_{1 \leq i \leq j}, s \right),$$

where  $a'_i = a_i + \frac{1}{4}(b_i - a_i)$  and  $b'_i = b_i - \frac{1}{4}(b_i - a_i)$ . It is straightforward to verify that  $qH_t = h_tq$  and so (2b.) is complete.

Finally, the restriction of  $H_1$  to fibers fits into a homotopy-commutative diagram

$$\begin{array}{ccc} q^{-1}(z) & \xrightarrow{H_1} & q^{-1}(h_1(z)) \\ \bar{\psi} \downarrow & & \downarrow \bar{\psi} \\ \bar{C}_1(Y, X) & \xrightarrow{\xi \circ C_1(1_Y, K_1)} & \bar{C}_1(Y, X) \end{array}$$

where we have already shown that the maps  $\bar{\psi}$  are homotopy equivalences, and where  $\xi$  is multiplication by the element

$$[a'_i, b'_i, y_i, K_1(x_i)]_{1 \leq i \leq j} \text{ and } b'_i \leq s < b_i$$

in the  $H$ -space structure on  $\bar{C}_1(Y, X)$ . Since  $\bar{C}_1(Y, X)$  is connected (because  $X$  is) this is a homotopy equivalence. This completes the proof of (2c.), and hence  $q$  is a quasifibration.

More can be said. By extending and iterating the definition and theorem, we can prove

**Corollary 1** *Let  $Y$  be a weak metric space and  $X$  a nondegenerately based space. For each  $n \geq 1$  there is a space  $C_n(Y, X)$  and a pair of maps*

$$C(\mathbb{R}^n \times Y, X) \xleftarrow{\phi_n} C_n(Y, X) \xrightarrow{\alpha_n} \Omega^n C(Y, \Sigma^n X)$$

*such that:*

1.  $C_n(-, -)$  is functorial with respect to based maps in the second variable and injective maps in the first variable, and  $\phi_n$  and  $\alpha_n$  are natural;
2.  $\phi_n$  is a homotopy equivalence; and

3.  $\alpha_n$  is a weak homotopy equivalence if  $X$  is path-connected.

There is an evident action of the little  $n$ -cubes operad  $\mathcal{C}_n$  of [1] on all the spaces appearing in the Corollary, and  $\phi_n$  and  $\alpha_n$  can be seen to be  $\mathcal{C}_n$ -maps.

It is also true (and proved in [4]) that when  $X$  is not path connected,  $\alpha_n$  is a group-completion for  $n \geq 2$ .

## References

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